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Group 3710

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
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3751  
#14  
IDS

In re Application of: **Siegbert Vial**

Serial No: **09/889,535**

Group Art Unit: **3751**

Filed: **January 16, 2002**

Examiner: **Kathleen Prunner**

For: **WRITING INSTRUMENT WITH VARIABLY INCLINABLE TIP**

I CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED  
WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN  
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P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

ON

BY

10-28-03  
Stephan P. Gribok  
Stephan P. Gribok, Reg. No. 29,643

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Enclosed herewith is a Supplemental Information Disclosure Statement  
pursuant to 37 CFR. §1.56 in connection with the above-identified application, which  
statement is being filed:

☐ Together with the present application.

☐ Before the first Office Action on the merits or three (3) months  
from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**

☒ After the first Office Action on the merits, but before a Final  
Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs  
first. **[37 CFR §1.97(c)]**. (Either the fee or certification is provided, as set forth  
below).

☐ After a Final Office Action under §1.113 or Notice of Allowance  
under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR §1.97(d)]**. The  
fee and certification are provided, as set forth below).

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

**Fee Under 37 CFR § 1.97(c) or 1.97(d)**

☐ The fee of \$180.00 for submission of an IDS under §1.97(c) as set forth in §1.17(p) accompanies this statement.

**Certification Under 37 CFR § 1.97(e)**

The undersigned certifies:

☒ 1 that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ 2 that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

**Provision of Copies of References**

☐ Pursuant to the order of the Deputy Commissioner dated July 11, 2003, copies of the U.S. references are not enclosed.

☒ A translation of Reference FR1253384 (Abstract only) is enclosed herewith and forms a part hereof.

☒ A copy of the Chinese Office Action/Search Report from a corresponding or related Chinese application is enclosed herewith.

☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s) \_\_\_\_\_, filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date:

Oct. 28, 2003



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